



**Election Required - Unique Trade Identifiers (“UTIs”)**

In connection with the reporting requirements under Article 9 of Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (“**EMIR**”), counterparties are required to generate and agree UTIs in respect of the derivative contracts to be reported.

We are seeking your election as to the process for generating UTIs where trades are not executed on a middleware platform, an e-platform or other means where UTI generation is agreed at the outset.

In such cases, UTIs may be generated (a) by HSBC, which subsequently notifies you of such UTI, (b) by you, and you subsequently notify HSBC of such UTI within one business day of its generation, or (c) in accordance with ISDA Logic, which is a set of best practice tie-breaker rules used to determine the generating party and may result in you or HSBC generating a UTI for a given trade. Details relating to ISDA Logic can be accessed using this link: <http://www2.isda.org/attachment/NTc00A==/2013%20July%2015%20UTI%20Workflow%20v8%205%20v6%20FINAL.pdf>

Please confirm the method by which you intend to agree and exchange UTIs with us by ticking the relevant box below and returning this form to [emir.client.support@hsbc.com](mailto:emir.client.support@hsbc.com) by 1 February 2014.

Your election below will apply in respect of your reportable derivative contracts with HSBC across all asset classes but will not apply in respect of the reporting of derivative contracts which (i) were entered into before 16 August 2012 and remained outstanding on 16 August 2012 and (ii) entered into on or after 16 August 2012 but before 12 February 2014 (“**backloading**”). We will contact you separately in respect of UTI generation for the purposes of backloading.

**Options**

**Tick one box**

- |   |                          |
|---|--------------------------|
| 1. We would like HSBC to generate UTIs and notify us of such UTIs.  | <input type="checkbox"/> |
| 2. We would like to generate UTIs and notify HSBC of such UTIs within one business day of its generation. | <input type="checkbox"/> |
| 3. We would like to generate UTIs in accordance with ISDA Logic .   | <input type="checkbox"/> |

If we do not hear from you by 1 February 2014, HSBC will assume that you would like HSBC to generate UTIs and notify you of such UTIs.

Please do not hesitate to contact your relationship manager if you have any questions relating to the contents of this election form.

**HSBC BANK PLC**

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