Global Markets Complaint Handling Principles

Mexico



Mexico Global Markets Complaints Handling Principles

1. Introduction

1.1. At HSBC, we are committed to providing you with the best customer experience we can. We encourage you to let us know, as soon as possible, whenever our products or services do not meet your expectations so we may promptly address your concerns.

1.2. In the event that you have a complaint about the quality of the products or services which HSBC supplies to you, or if you would like to receive further information concerning HSBC's complaints handling processes, you may speak to your usual HSBC representative or send an e-mail to:

Email: <u>mexico_une@hsbc.com.mx</u> Telephone: (01 55) 5721 – 5661 Attention schedule: Monday through Friday, 9:00 am – 15:00 pm.

Complaints and comments can also be addressed directly to the Customer Service Office in Mexico City at the following address:

Av. Paseo de la Reforma 347 Torre HSBC Col. Cuauhtémoc, C.P. 06500, Ciudad de México.

(HSBC office is located between streets Rio Guadalquivir and Rio Tíber, in front of the Ángel de la Independencia roundabout)

1.3. Our key principles are:

1.3.1. Clients and potential clients can submit complaints free of charge

1.3.2 HSBC, according with the Local Regulator (CONDUSEF), has a process for handling complaints and claims with a guarantee of 30 working days.

1.3.3. We work in partnership with clients to resolve concerns in a consistent and fair manner

1.4. Complaints are handled in line with the HSBC internal Complaint Handling Policy and in line with the Mexico regulatory requirements.

2. Frontline resolution

2.1. Where appropriate and possible, we aim to provide resolution via the first person to whom you escalate the issue. This may be a member of a product client service team or your Relationship Manager

2.1.1. We will Acknowledge – complaints as soon as possible in person, by telephone or in writing, generally in the manner in which it was received.

2.1.2. Act – to investigate, obtaining advice from relevant colleagues as necessary, in order to resolve the complaint.

2.1.3. Close – we will explain the outcome of your complaint and the actions we have taken

2. 2. Where we are unable to resolve the issue immediately, we will work with you until the issue is resolved. We will provide you an indicative timeline for the resolution and provide regular progress updates. In the unlikely event we are unable to close the issue to your satisfaction you have the option to follow the Alternative Dispute Resolution mechanism, outlined for the specific HSBC entity you have been discussing the issue with

3. Alternative Dispute Resolution mechanisms

3.1. If you are not satisfied with HSBC's response, you have the option to bring your complaint to the National Commission for Financial Services Users (CONDUSEF) in the following link: <u>https://www.gob.mx/condusef</u>